# On rigidity of Nichols algebras

#### M. Kochetov

Department of Mathematics and Statistics Memorial University of Newfoundland

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# Outline

# Braided Lie algebras (symmetric case)

- Braided algebras and bialgebras
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  - Connected c-cocommutative bialgebras
- Graded deformations of Nichols algebras
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Let k be an algebraically closed field of characteristic zero;  $\otimes = \otimes_k$ .

Let V be a vector space over  $\Bbbk$ .

An invertible linear map  $c : V \otimes V \to V \otimes V$  is a *braiding* if  $c_1 c_2 c_1 = c_2 c_1 c_2$ where  $c_1 = c \otimes id_V$  and  $c_2 = id_V \otimes c$ ;

*c* is *symmetric* if  $c^2 = id_{V \otimes V}$ .

# Definition

Let *A* be an algebra (not necessarily associative) with multiplication  $\mu : A \otimes A \rightarrow A$ , and let  $c : A \otimes A \rightarrow A \otimes A$  be a braiding.  $(A, \mu, c)$  is a *braided algebra* if these compatibility conditions hold:  $c \circ (\mu \otimes id_A) = (id_A \otimes \mu) \circ c_1 c_2;$  $c \circ (id_A \otimes \mu) = (\mu \otimes id_A) \circ c_2 c_1.$ 

If c is symmetric then the two conditions are equivalent to one another. Braided coalgebras are defined similarly.

Let  $\mathcal{V}$  be a braided k-linear category. An *algebra in*  $\mathcal{V}$  is a pair  $(A, \mu)$  where A is an object and  $\mu : A \otimes A \to A$  is a morphism. If  $\mathcal{V}$  consists of vector spaces and linear maps, e.g.  $\mathcal{V} = \mathcal{M}^H$  where  $(H, \beta)$  is a CQT bialgebra, then A is a braided algebra with  $c = c_{A,A}$ .

Conversely, any f.d. braided vector space (V, c) can be regarded as an object in a suitable braided category  $\mathcal{V}$  such that  $c = c_{V,V}$ , e.g.  $\mathcal{V} = \mathcal{M}^H$  for a CQT bialgebra  $(H, \beta)$ . Under a certain condition on c, we can make H a CQT Hopf algebra.

If  $(A, \mu, c)$  is a braided algebra then  $(H, \beta)$  can be replaced with a quotient such that  $\mu$  is a morphism in  $\mathcal{M}^H$  (Takeuchi 2000).

#### Definition

 $(B, m, u, \Delta, \varepsilon, c)$  is a *braided bialgebra* if (B, m, u, c) is a unital associative braided algebra,  $(B, \Delta, \varepsilon, c)$  is a counital coassociative braided coalgebra, u is a counital coalgebra map,  $\varepsilon$  is a unital algebra map, and  $\Delta m = (m \otimes m)(\mathrm{id}_B \otimes c \otimes \mathrm{id}_B)(\Delta \otimes \Delta)$ .

If  $(B, m, u, \Delta, \varepsilon, c)$  is a braided bialgebra then, for suitable  $(H, \beta)$ , *B* is a bialgebra in  $\mathcal{M}^H$ , i.e.,  $c = c_{B,B}$  and *m*, *u*,  $\Delta$ ,  $\varepsilon$  are morphisms  $\mathbf{e}$ .

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#### Definition (Gurevich 1986)

Let (L, [, ], c) be a braided algebra, where c is symmetric. Then (L, [, ], c) is a c-Lie algebra if  $[, ] \circ (id_{L\otimes L} + c) = 0$  (braided antisymmetry)  $[, ] \circ ([, ] \otimes id_L) \circ (id_{L\otimes L\otimes L} + c_1c_2 + c_2c_1) = 0$  (braided Jacobi).

This generalizes Lie algebras (c is the flip), superalgebras (c is the signed flip) and coloralgebras (c is of diagonal type).

If  $(A, \mu, c)$  is a braided associative algebra (with symmetric *c*), then (A, [,], c) is a braided Lie algebra, denoted  $A^{(-)}$ , where [,] is the *braided commutator*.

$$[,] = \mu \circ (\mathrm{id}_{A \otimes A} - C).$$

If *B* is a braided bialgebra with symmetric *c*, then the space of primitive elements P(B) is closed under the braided commutator. This is false for general *c*.

Let *H* be a cotriangular bialgebra with *R*-form  $\beta : H \otimes H \to \Bbbk$ . Recall that the braiding on the category  $\mathcal{M}^H$  is given by

$$c_{V,W}: v \otimes w \mapsto \sum \beta(v_{(1)}, w_{(1)}) w_{(0)} \otimes v_{(0)}$$

where  $V, W \in \mathcal{M}^{H}$ .

Let *L* be an algebra in  $\mathcal{M}^H$ . Then *L* is a Lie algebra in  $\mathcal{M}^H$  iff it satisfies the following identities:

$$\begin{aligned} [a,b] &+ \sum \beta(a_{(1)},b_{(1)})[b_{(0)},a_{(0)}] = 0, \\ [[a,b],c] &+ \sum \beta(a_{(1)}b_{(1)},c_{(1)})[[c_{(0)},a_{(0)}],b_{(0)}] \\ &+ \sum \beta(a_{(1)},b_{(1)}c_{(1)})[[b_{(0)},c_{(0)}],a_{(0)}] = 0. \end{aligned}$$

Such objects are also known as  $(H, \beta)$ -Lie algebras (Bahturin–Fischman–Montgomery 1996).

Let  $\mathcal V$  and  $\mathcal V'$  be symmetric categories.

# Proposition (K 2008)

Let  $(\Phi, \varphi_2) : \mathcal{V} \to \mathcal{V}'$  be a braided monoidal functor. Let A be an algebra in  $\mathcal{V}$ . If A satisfies the (multilinear) polynomial identity F = 0, then so does the algebra  $\Phi(A)$  in  $\mathcal{V}'$ .

Suppose  $(H, \beta)$  is a cotriangular bialgebra and  $\sigma : H \otimes H \to \Bbbk$  a right 2-cocycle. Then  $(H_{\sigma}, \beta_{\sigma})$  is again a cotriangular bialgebra where  $H_{\sigma} = H$  as a coalgebra, the multiplication of  $H_{\sigma}$  is given by

$$h \cdot_{\sigma} k = \sum \sigma^{-1}(h_{(1)}, k_{(1)}) h_{(2)} k_{(2)} \sigma(h_{(3)}, k_{(3)}),$$

and the *R*-form

$$\beta_{\sigma}(h,k) = \sum \sigma^{-1}(k_{(1)},h_{(1)})\beta(h_{(2)}k_{(2)})\sigma(h_{(3)},k_{(3)}).$$

Also  $\Phi = \mathrm{id}: \mathcal{M}^H \to \mathcal{M}^{H_\sigma}$  and

$$\varphi_2(V, W) : v \otimes w \mapsto \sum \sigma(v_{(1)}, w_{(1)}) v_{(0)} \otimes w_{(0)}$$

define an equivalence of braided monoidal categories  $\mathcal{M}^{H}_{a}$  and  $\mathcal{M}^{H_{\sigma}}_{a}$ 

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If *A* is an algebra in  $\mathcal{M}^H$  with multiplication  $\mu : A \otimes A \to A$ , then  $\Phi(A) = A$  as an *H*-comodule and the multiplication of  $\Phi(A)$  is given by

$$\mu_{\sigma}(\boldsymbol{a}\otimes\boldsymbol{b})=\sum \sigma(\boldsymbol{a}_{(1)},\boldsymbol{b}_{(1)})\mu(\boldsymbol{a}_{(0)}\otimes\boldsymbol{b}_{(0)}).$$

#### Corollary

Let L be an  $(H, \beta)$ -Lie algebra. Then  $L_{\sigma}$  is an  $(H_{\sigma}, \beta_{\sigma})$ -Lie algebra. Moreover, L and  $L_{\sigma}$  have the same H-comodule subalgebras and ideals. L is solvable (resp., nilpotent) iff so is  $L_{\sigma}$ .

# Theorem (Etingof–Gelaki 2001)

Let  $(H, \beta)$  be a cotriangular Hopf algebra. Assume that H is pseudoinvolutive (i.e., for any finite-dimensional subcoalgebra  $C \subset H$ we have tr $(S^2|_C) = \dim C$ ). Then there exists a 2-cocycle  $\sigma : H \otimes H \to \Bbbk$  such that  $H_{\sigma}$  is commutative and  $\beta_{\sigma} = \frac{1}{2} (\varepsilon \otimes \varepsilon + \varepsilon \otimes \zeta + \zeta \otimes \varepsilon - \zeta \otimes \zeta)$ for some central grouplike  $\zeta \in H^*$  with  $\zeta^2 = 1$ . Since  $\Bbbk$  is an a. c. field of characteristic zero and  $H_{\sigma}$  is a commutative Hopf algebra, we have  $H_{\sigma} = \mathcal{O}(G)$ , the algebra of regular functions the pro-algebraic group  $G = G(H_{\sigma}^*) = \operatorname{Alg}(H_{\sigma}, \Bbbk)$ .

A right  $H_{\sigma}$ -comodule is a vector space on which *G* acts linearly and algebraically.

Corollary

Let  $(H, \beta)$ ,  $\sigma$ , and  $\zeta$  be as above. Let  $L_0 := \{a \in L | \zeta \cdot a = a\}$ ,  $L_1 := \{a \in L | \zeta \cdot a = -a\}$ . Then  $L \mapsto L_{\sigma} = L_0 \oplus L_1$  is an equivalence of the category of  $(H, \beta)$ -Lie algebras and the category of Lie superalgebras with an algebraic *G*-action by automorphisms of graded algebras.

Dually, one can work with a triangular Hopf algebra (H, R) and a dual cocycle  $J \in H \otimes H$ .

## Theorem (Etingof–Gelaki 2003)

Let (H, R) be a finite-dimensional triangular Hopf algebra. Then there exists a dual cocycle  $J \in H \otimes H$  such that  $(H^J, R^J)$  is a modified supergroup algebra.

Let *V* be a f.d. vector space, *G* a finite group that acts linearly on *V*, and  $\zeta \in Z(G)$  such that  $\zeta^2 = 1$  and  $\zeta \cdot v = -v$  for all  $v \in V$ .

Let  $H = \Lambda(V) # \Bbbk G$ . Define comultiplication  $\Delta$  on H by  $\Delta g = g \otimes g$  for  $g \in G$ ,  $\Delta v = v \otimes 1 + \zeta \otimes v$  for  $v \in V$  and the *R*-matrix  $R_{\zeta} = \frac{1}{2}(1 \otimes 1 + 1 \otimes \zeta + \zeta \otimes 1 - \zeta \otimes \zeta)$ .

Then  $(H, R_{\zeta})$  is a triangular Hopf algebra, called a *modified supergroup* algebra. (The algebra  $\Lambda(V) \# \Bbbk G$  carries the natural structure of a Hopf superalgebra, but we modified it to obtain an ordinary Hopf algebra.)

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Let *G* be a finite group and *J* a dual cocycle for  $\Bbbk G$ .

If *G* acts by automorphisms on a Lie algebra *L*, then *L* is a  $((\Bbbk G)^*, \varepsilon \otimes \varepsilon)$ -Lie algebra, so  $L_J$  is an  $(H, \beta)$ -Lie algebra where  $H = ((\Bbbk G)^J)^*$  and  $\beta = J_{21}^{-1}J$ .

Take  $G = A \rtimes K$ ,  $A = \langle a \rangle_4$ ,  $K = \langle g \rangle_2 \times \langle h \rangle_2$ , and  $g \cdot a = a$ ,  $g \cdot a = a^{-1}$ . Let  $\pi : K \to A$  be a 1-cocycle defined by  $\pi(g) = a^2$  and  $\pi(h) = a$ . Then  $\pi$  is bijective and

$$J = \frac{1}{|\mathcal{A}|} \sum_{x \in \mathcal{A}, y^* \in \widehat{\mathcal{A}}} \langle x, y^* \rangle \pi^{-1}(x) \otimes y^*$$

is a minimal dual cocycle for  $\Bbbk G$  (Etingof–Gelaki).

## Example (K 2008)

Take 
$$L = sl_2(\Bbbk) \times sl_2(\Bbbk) = \langle e_k, f_k, h_k | k = 1, 2 \rangle$$
 and let *G* act on *L*:  
1) *g* swaps the two  $sl_2(\Bbbk)$  components;  
2) *h* acts by  $Ad \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  on each component;  
3) *a* acts by  $Ad \begin{pmatrix} \omega & 0 \\ 0 & \omega^{-1} \end{pmatrix}$  on each component, where  $\omega$  is a primitive  
8-th root of unity.

Multiplication table of the twisted  $\mathit{sl}_2 \times \mathit{sl}_2$ 

	$h_1$	$e_1$	<i>f</i> <sub>1</sub>	$h_2$	e <sub>2</sub>	$f_2$
$h_1$	0	0	2 <i>f</i> <sub>1</sub>	0	-2 <i>e</i> <sub>2</sub>	0
$e_1$	-2 <i>e</i> 1	0	0	0	$-h_2$	0
<i>f</i> <sub>1</sub>	2 <i>f</i> <sub>1</sub>	0	$h_1$	0	0	0
h <sub>2</sub>	0	-2 <i>e</i> 1	0	0		2 <i>f</i> <sub>2</sub>
$e_2$	0	$-h_1$	0	-2 <i>e</i> <sub>2</sub>	0	0
f <sub>2</sub>	0	0	0	2f <sub>2</sub>	0	$h_2$

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#### Braiding on the twisted $\mathit{sl}_2 \times \mathit{sl}_2$

	$h_1$	<i>e</i> <sub>1</sub>	<i>f</i> <sub>1</sub>	h <sub>2</sub>	e <sub>2</sub>	f <sub>2</sub>
$h_1$	$h_1 \otimes h_1$	$-e_1\otimes h_2$	$-f_1 \otimes h_1$	$h_2 \otimes h_1$	$-e_2\otimes h_2$	$-f_2 \otimes h_1$
<i>e</i> 1	$-h_2\otimes e_1$	$f_1 \otimes f_2$	$e_1 \otimes f_1$	$-h_1\otimes e_1$	$f_2 \otimes f_2$	$e_2 \otimes f_1$
f <sub>1</sub>	$-h_1 \otimes f_1$	$f_2\otimes e_2$	$e_2 \otimes e_1$	$-h_2\otimes f_1$	$f_1\otimes \pmb{e_2}$	$e_1 \otimes e_1$
h <sub>2</sub>	$h_1 \otimes h_2$	$-oldsymbol{e}_1\otimes h_1$	$-f_1 \otimes h_2$	$h_2 \otimes h_2$	$-e_2\otimes h_1$	$-f_2 \otimes h_2$
e <sub>2</sub>	$h_2\otimes e_2$	$f_1 \otimes f_1$	$e_1 \otimes f_2$	$-h_1\otimes e_2$	$f_2 \otimes f_1$	$e_2 \otimes f_2$
f <sub>2</sub>	$-h_1\otimes f_2$	$f_2 \otimes e_1$	$\textbf{e}_2 \otimes \textbf{e}_2$	$-h_2\otimes f_2$	$f_1 \otimes e_1$	$\textbf{e}_1 \otimes \textbf{e}_2$

This is an example of an  $(H, \beta)$ -Lie algebra which is not a Lie coloralgebra.

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Let  $V = \langle x \rangle$ ,  $G = \langle g \rangle_2$ , and  $\zeta = g$ . Then the modified supergroup algebra is the Sweedler algebra of dimension 4:

$$H_4 = \langle x, g | g^2 = 1, x^2 = 0, gx = -xg \rangle, \quad \Delta g = g \otimes g, \Delta x = x \otimes 1 + g \otimes x.$$

Set  $J_{\lambda} = 1 \otimes 1 - \frac{\lambda}{2}gx \otimes g$ ,  $\lambda \in \mathbb{k}$ . Then  $(H_4)^{J_{\lambda}} = H_4$ , but with a different *R*-matrix:  $(R_g)^{J_{\lambda}} = R_g - \frac{\lambda}{2}(x \otimes x + gx \otimes x - x \otimes gx + gx \otimes gx)$ .

## Example (K 2008)

Take  $L = sl_{2,1}(\mathbb{k}) = \langle h, e, f, z \rangle \oplus \langle E_{13}, E_{23}, E_{31}, E_{32} \rangle$ , where h, e, f is the standard basis of  $sl_2(\mathbb{k})$  in the upper left corner of  $sl_{2,1}(\mathbb{k})$  and z = diag(1, 1, 2). Let g act by parity and let x act by ad  $E_{13}$ . Then L is an  $H_4$ -module algebra. One can check that  $[, ]_{J_{\lambda}}$  coincides with [, ] on all basis elements except the following:  $[f, E_{31}]_{J_{\lambda}} = -\frac{\lambda}{2}E_{23}, \qquad [E_{31}, f]_{J_{\lambda}} = -\frac{\lambda}{2}E_{23}, \\ [f, E_{32}]_{J_{\lambda}} = -E_{31} + \frac{\lambda}{2}E_{13}, \qquad [E_{32}, f]_{J_{\lambda}} = E_{31} + \frac{\lambda}{2}E_{13}, \\ [E_{31}, E_{32}]_{J_{\lambda}} = -\frac{\lambda}{2}e, \qquad [E_{32}, E_{31}]_{J_{\lambda}} = \frac{\lambda}{2}e.$ 

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Let *L* be a *c*-Lie algebra (with symmetric *c*). Define the *universal* enveloping algebra  $U_c(L)$  as the quotient of the tensor algebra T(L) by the relations:

$$x \otimes y - c(x \otimes y) - [x, y], \quad \forall x, y \in L.$$

Then there is a canonical map  $\eta : L \to U_c(L)$  that satisfies the usual universal property: for any unital associative braided algebra A and a braided algebra map  $f : L \to A^{(-)}$  there exists a unique unital algebra map  $F : U_c(L) \to A$  such that  $f = F \circ \eta$ .

The usual increasing filtration of T(L) gives rise to the *standard filtration* of  $U_c(L)$ .

## Theorem (Kharchenko 2007)

The graded algebra  $\operatorname{gr} \mathcal{U}_c(L)$  associated to the standard filtration of  $\mathcal{U}_c(L)$  is naturally isomorphic to  $\mathcal{U}_c(L^\circ)$  where  $L^\circ$  denotes the braided Lie algebra with the same underlying braided vector space as L but with zero bracket.

In particular,  $\eta$  is an embedding.

Let B be a connected braided bialgebra (hence a Hopf algebra) with symmetric c.

## Definition

*B* is called *c*-cocommutative if  $c \circ \Delta = \Delta$ .

If *L* is a *c*-Lie algebra, then *c* extends to a braiding on  $U_c(L)$ . There exists a unique structure of a braided bialgebra on  $U_c(L)$  such that

$$\Delta(x) = x \otimes 1 + 1 \otimes x, \quad \forall x \in L.$$

## Theorem (Kharchenko 2007)

The functors  $L \mapsto U_c(L)$  and  $B \mapsto P(B)$  determine an equivalence between the category of c-Lie algebras and the category of connected c-cocommutative bialgebras.

Masuoka proved a dual version of the above theorem: there is an equivalence between the category of locally nilpotent *c*-Lie coalgebras and the category of irreducible *c*-commutative Hopf algebras.

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Recall: the *Nichols algebra* of a braided vector space (V, c), denoted by  $\mathcal{B}(V, c)$  or just  $\mathcal{B}(V)$ , is the unique (up to isomorphism) graded braided bialgebra  $\mathcal{B} = \bigoplus_{n \ge 0} \mathcal{B}_n$  with  $\mathcal{B}_0 = \Bbbk$ ,  $\mathcal{B}_1 = V$  such that the restriction of the braiding of  $\mathcal{B}$  to V is c,  $\mathcal{B}$  is generated by V as an algebra, and  $V = P(\mathcal{B})$ .

If *L* is a *c*-Lie algebra with symmetric *c* then  $\operatorname{gr} \mathcal{U}_c(L) \cong \mathcal{U}_c(L^\circ) \cong \mathcal{B}(L, c)$ . Thus,  $\mathcal{U}_c(L)$  is a graded deformation or *lifting* of the graded braided bialgebra  $\mathcal{B}(L, c)$ .

Let  $\mathcal{B}$  be a bialgebra in a braided tensor category  $\mathcal{V}$  (consisting of vector spaces and linear maps) equipped with a grading, as an object in  $\mathcal{V}$ ,  $\mathcal{B} = \bigoplus_{n \ge 0} \mathcal{B}_n$ , which is at the same time an algebra and a coalgebra grading, i.e.,  $\mathcal{B}_i \mathcal{B}_j \subseteq \mathcal{B}_{i+j}$  and  $\Delta(\mathcal{B}_k) \subseteq \bigoplus_{i+j=k} \mathcal{B}_i \otimes \mathcal{B}_j$ , for all  $i, j, k \ge 0$ .

A *lifting*  $(\mathcal{U}, \pi)$  of  $\mathcal{B}$  consists of a filtered bialgebra  $\mathcal{U}$  and a filtered vector space isomorphism  $\pi: \mathcal{U} \to \mathcal{B}$  such that  $\operatorname{gr} \pi: \operatorname{gr} \mathcal{U} \to \operatorname{gr} \mathcal{B} = \mathcal{B}$  is an isomorphism of graded bialgebras. An *equivalence* between liftings  $(\mathcal{U}, \pi)$  and  $(\mathcal{U}', \pi')$  is a filtered bialgebra isomorphism  $f: \mathcal{U} \to \mathcal{U}'$  such that  $\operatorname{gr} \pi \circ \operatorname{gr} f = \operatorname{gr} \pi'$ .

Consider the polynomial algebra  $\Bbbk[t]$  equipped with its standard grading. By extending scalars from  $\Bbbk$  to  $\Bbbk[t]$ , the braided tensor category  $\mathcal{V}$  gives rise to the braided tensor category  $\mathcal{V}_{\Bbbk[t]}$ .

## Definition (following Du–Chen–Ye 2007)

A (formal) graded deformation of a graded bialgebra  $(\mathcal{B}, m, \Delta)$  in  $\mathcal{V}$  is a  $\mathbb{k}[t]$ -linear graded structure  $(m_t, \Delta_t)$  on  $\mathcal{B}[t] = \mathcal{B} \otimes \mathbb{k}[t]$  such that  $(\mathcal{B}[t], m_t, \Delta_t)$  is a graded bialgebra in  $\mathcal{V}_{\mathbb{k}[t]}$  and  $(m_t, \Delta_t)|_{t=0} = (m, \Delta)$ .

We say that two graded deformations,  $(\mathcal{B}[t], m_t, \Delta_t)$  and  $(\mathcal{B}[t], m'_t, \Delta'_t)$ , are *equivalent* if there exists a  $\Bbbk[t]$ -linear graded bialgebra isomorphism  $f: (\mathcal{B}[t], m_t, \Delta_t) \rightarrow (\mathcal{B}[t], m'_t, \Delta'_t)$ .

Graded deformations of  $\mathcal{B}$  are controlled by the *truncated graded* bialgebra cohomology  $\widehat{H}_{b}^{*}(\mathcal{B})_{\ell}$ . In particular, if  $\widehat{H}_{b}^{2}(\mathcal{B})_{\ell} = 0$  for all  $\ell < 0$ , then  $\mathcal{B}$  is *rigid*, i.e., has no nontrivial graded deformations.

A graded deformation is given by a sequence of pairs of maps  $(m_i, \Delta_i)$ ,  $i \ge 0$ , of degree -i such that  $m_t|_{\mathcal{B}\otimes\mathcal{B}} = m + \sum_{i\ge 1} m_i t^i$  and  $\Delta_t|_{\mathcal{B}} = \Delta + \sum_{i\ge 1} \Delta_i t^i$ .

The concepts of lifting and graded deformation are equivalent:

A graded deformation  $(\mathcal{B}[t], m_t, \Delta_t)$  defines a lifting  $(\mathcal{U}, \pi)$ , where  $\mathcal{U}$  is  $\mathcal{B}$  as a filtered vector space,  $\pi$  is identity, and  $(m_{\mathcal{U}}, \Delta_{\mathcal{U}}) = (m_t, \Delta_t)|_{t=1}$ .

If  $(\mathcal{U}, \pi)$  is a lifting, then the linear maps  $\tilde{m}: \mathcal{B} \otimes \mathcal{B} \xrightarrow{\pi^{-1} \otimes \pi^{-1}} \mathcal{U} \otimes \mathcal{U} \xrightarrow{m_{\mathcal{U}}} \mathcal{U} \xrightarrow{\pi} \mathcal{B}, \tilde{\Delta}: \mathcal{B} \xrightarrow{\pi^{-1}} \mathcal{U} \xrightarrow{\Delta_{\mathcal{U}}} \mathcal{U} \otimes \mathcal{U} \xrightarrow{\pi \otimes \pi} \mathcal{B} \otimes \mathcal{B}$ decompose into direct sums of homogeneous components  $m_i, \Delta_i$  of degrees -i for  $i \geq 0$ , and the structure maps  $(m_t, \Delta_t) = (\sum_i m_i t^i, \sum_i \Delta_i t^i)$  on  $\mathcal{B}[t]$  define a formal graded deformation of  $\mathcal{B}$ .

Up to equivalence, these correspondences are inverses of each other.

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#### Theorem

Let  $(H, \beta)$  be a cotriangular Hopf algebra that is either pseudo-involutive or finite-dimensional. Let V be a finite-dimensional H-comodule with the corresponding braiding c. If the Nichols algebra  $\mathcal{B}(V, c)$  is finite-dimensional then it does not admit nontrivial graded deformations as an augmented algebra or bialgebra in  $\mathcal{M}^H$ .

Idea of proof: a graded deformation of  $\mathcal{B}(V, c)$  is given by a *c*-Lie algebra structure on *V*, which can be twisted to a superalgebra structure using Etigof–Gelaki, but for a Lie superlagebra *L*, dim  $\mathcal{U}(L) < \infty$  only if  $L_0 = 0$  and hence the bracket is zero.

#### Corollary

Let (V, c) be a finite-dimensional braided vector space such that c can be obtained from a coaction by a finite-dimensional cotriangular Hopf algebra. If  $\mathcal{B}(V, c)$  is finite-dimensional then it does not admit nontrivial graded deformations as a braided augmented algebra or bialgebra.

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#### Theorem

Let V be a an object in  $\mathcal{V}$  and T(V) its (braided) tensor bialgebra. Let  $R \subset T(V)_{(2)}$  be a graded subspace that is an object in  $\mathcal{V}$  and generates a biideal in T(V). Consider the quotient  $\mathcal{B} = T(V)/\langle R \rangle$ , which is a graded bialgebra in  $\mathcal{V}$ , and assume that the multiplication map  $\mathcal{B}^+ \otimes_{\mathcal{B}} \mathcal{B}^+ \to (\mathcal{B}^+)^2$  splits in  $\mathcal{V}$ . If for some negative  $\ell$  we have that  $\operatorname{Hom}(R, P(\mathcal{B}))_{\ell} = 0$ , then  $\widehat{H}^2_{\mathrm{b}}(\mathcal{B})_{\ell} = 0$ .

#### Theorem

If  $\mathcal{B}(V, c)$  is a Nichols algebra of diagonal type with finite root system then  $\mathcal{B}(V, c)$  does not admit nontrivial graded deformations as a braided bialgebra.

The second theorem applies to finite-dimensional Nichols algebras and positive parts of quantum groups. The first theorem applies to some non-diagonal situations such as the Fomin–Kirillov algebras.

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