Cheng Yeaw Ku

California Institute of Technology

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2 Intersecting Families of Permutations

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- Intersecting families in Other Base Groups

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4 Intersecting Families of Set Partitions

Motivation - Intersecting families of subsets

When it all began (for me)...

In a paper entitled 'Permutations' by Peter Cameron, the following question caught my attention:

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Suppose A is a set of permutations of $1, \ldots, n$ such that any two of them agree in at least t positions.

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Suppose \mathcal{A} is a set of permutations of $1, \ldots, n$ such that any two of them agree in at least t positions.

How large can $|\mathcal{A}|$ be?

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How large can $|\mathcal{A}|$ be?

What is the structure of such a family of maximum size?

└─ Motivation - Intersecting families of subsets

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- $\binom{[n]}{k}$ set of all k-subsets of [n].

 $\mathcal{A} \subseteq 2^{[n]}$ is intersecting if $A \cap B \neq \emptyset$ for any distinct $A, B \in \mathcal{A}$.

└─ Motivation - Intersecting families of subsets

Intersecting family of subsets

Problem 1. (Non-uniform) What is the maximum size of an intersecting family \mathcal{A} ?

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Intersecting family of subsets

Problem 1. (Non-uniform) What is the maximum size of an intersecting family A?

Answer. $|\mathcal{A}| \leq 2^{n-1}$. (Since if $A \in \mathcal{A}$ then complement $\overline{A} \notin \mathcal{A}$.)

Intersecting family of subsets

intersecting family of k-subsets?

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• $k > \frac{n}{2}$. Then every two k-subset intersect. **Answer**. $\binom{n}{k}$.

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- $k > \frac{n}{2}$. Then every two k-subset intersect. **Answer**. $\binom{n}{k}$.
- $k \leq \frac{n}{2}$. Take $\mathcal{A} = \{A : |A| = k, x \in A\}$ some fixed x. Then $|\mathcal{A}| = \binom{n-1}{k-1}$. Can we do better?

Motivation - Intersecting families of subsets

The Erdős-Ko-Rado Theorem

$\mathcal{A} \subseteq {\binom{[n]}{k}}$ is *t*-intersecting if $|A \cap B| \ge t$ for any $A, B \in \mathcal{A}$.

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I(n, k, t)=Set of all t-intersecting families of k-subsets if [n].

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We are interested in the following function:

 $M(n, k, t) = \max\{|\mathcal{A}| : \mathcal{A} \in I(n, k, t)\}, \text{ for } 2k - t < n.$

Motivation - Intersecting families of subsets

Construction - Frankl Families

For
$$0 \le r \le \frac{n-t}{2}$$
, let
$$\mathcal{F}_r = \mathcal{F}_{n,k,t,r} = \{F \in {[n] \choose k} : |F \cap [1, t+2r]| \ge t+r\}.$$

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 $\mathcal{F}_0 = \text{Set of all } k$ -subsets containing [1, t]

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$$|\mathcal{F}_0| = \binom{n-t}{k-t};$$

• $|\mathcal{F}_0| = |\mathcal{F}_1| > |\mathcal{F}_2| > \cdots$ when $n = (t+1)(k-t+1);$

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• $|\mathcal{F}_0| > |\mathcal{F}_1| > |\mathcal{F}_2| > \cdots$ when $n > (t+1)(k-t+1).$

Theorem (Erdős-Ko-Rado, 1961)

For $1 \leq t \leq k$ and $n \geq n_0(k, t)$,

$$M(n,k,t) = \binom{n-t}{k-t} = |\mathcal{F}_0|.$$

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• Frankl (1978) - $n_0(k, t) = (t+1)(k-t+1)$ and $t \ge 15$;

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- for n > (t + 1)(k - t + 1), optimum families = \mathcal{F}_0 (up to permutations);

- for n = (t + 1)(k - t + 1), optimum families $= \mathcal{F}_0, \mathcal{F}_1$ (up to permutations).

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Technique - Shifting Operation

The well-known (i, j)-shift S_{ij} is defined as follows:

$$\triangleleft_{ij}(A) = \begin{cases} (A \setminus \{j\}) \cup \{i\} & \text{if } i \notin A, j \in A \\ A & \text{otherwise.} \end{cases}$$

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 $\mathcal{A}_{ij} = \{A \in \mathcal{A} : \triangleleft_{ij}(A) \notin \mathcal{A}\}.$ (bad ones)

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 $S_{ij}(\mathcal{A}) = (\mathcal{A} \setminus \mathcal{A}_{ij}) \bigcup \{ \triangleleft_{ij}(\mathcal{A}) : \mathcal{A} \in \mathcal{A}_{ij} \}.$

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Motivation - Intersecting families of subsets

What is so nice about shifting

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• $|S_{ij}(\mathcal{A})| = |\mathcal{A}|;$

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- $|S_{ij}(\mathcal{A})| = |\mathcal{A}|;$
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Shifting preserves the problem!

$$\mathcal{A} \rightarrow$$
 repeated shifting operations $\cdots \rightarrow \mathcal{A}^*$
 \uparrow
has 'nice' properties

Sometimes, we can even 'undo' the shiftings to say something about $\mathcal{A}!$

Intersecting Families of Permutations

Permutation Anticode

Permutation Code and Anticode

Let Sym(n) denote the set of all permutations of [n].

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• e.g. $d_H(51234, 12534) = 3$. Formally,

$$d_{H}(g,h) = |\{i:g(i) \neq h(i)\}| \\ = n - |\{i:g(i) = h(i)\}| \\ = n - (g^{-1}h),$$

where $(g) = |\{i : g(i) = i\}|.$

Intersecting Families of Permutations

Permutation Anticode

$\mathcal{A} \subseteq \operatorname{Sym}([n])$ is *t*-intersecting if, for any $g, h \in \mathcal{A}$,

$$|\{x:g(x)=h(x)\}|\geq t;$$

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Problems.

1. What is the size of a largest *t*-intersecting family of permutations?

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Problems.

1. What is the size of a largest *t*-intersecting family of permutations?

2. Can we characterize such extremal families?

Intersecting Families of Permutations

Permutation Anticode

The Case t = 1

Theorem (Deza-Frankl, 1977)

 $\mathcal{A} \subseteq \operatorname{Sym}([n])$ 1-intersecting. Then

 $|\mathcal{A}| \leq (n-1)!.$

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Intersecting Families of Permutations

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The Case t = 1

Theorem (Deza-Frankl, 1977)

 $\mathcal{A} \subseteq \operatorname{Sym}([n])$ 1-intersecting. Then

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Note: The bound is sharp. Take a point stabilizer.

Theorem (Cameron-Ku, 2003; Larose-Malvenuto, 2004)

Equality holds if and only if \mathcal{A} is a coset of a point stabilizer, i.e.

$$\mathcal{A} = \{g : g(x) = y\},\$$

for some $x, y \in [n]$.

Intersecting Families of Permutations

Permutation Anticode

Design Theoretic Approach

Existence of a particular 'design' implies good bounds for some extremal problems.

Intersecting Families of Permutations

Permutation Anticode

Design Theoretic Approach

Existence of a particular 'design' implies good bounds for some extremal problems.

Main problem: such design might not exist.

Intersecting Families of Permutations

Permutation Anticode

Graphical Interpretation

Theorem (Clique-Coclique Bound)

G = (V, E) vertex-transitive. C complete subgraph, I independent set. Then

$$|C| \cdot |I| \leq |V(G)|. \tag{1}$$

Intersecting Families of Permutations

Permutation Anticode

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If equality holds in (1) then $|C \cap I| = 1$.

Intersecting Families of Permutations

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To obtain good upper bound for |I|, we need to find a large C.

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To obtain good upper bound for |I|, we need to find a large C. The statement of equality can be used to characterize extremal families.

Intersecting Families of Permutations

Permutation Anticode

Construct a graph G as follows:

- $V(G) = \operatorname{Sym}([n]);$
- $E(G) = \{\{g, h\} : d_H(g, h) = n\}.$

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Intersecting Families of Permutations

Permutation Anticode

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- $E(G) = \{\{g, h\} : d_H(g, h) = n\}.$

Observe that

- G is vertex-transitive.
- An independent set = an 1-intersecting family.
- A complete subgraph = rows of a Latin rectangle.

Intersecting Families of Permutations

Permutation Anticode

A complete subgraph of size n exists - just take the rows of a Latin square.

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Permutation Anticode

A complete subgraph of size n exists - just take the rows of a Latin square.

By Clique-Coclique Bound,

 $n\cdot |\mathcal{A}| \leq n!,$

where \mathcal{A} is an 1-intersecting family (independent set of G) of permutations of [n].

— Permutation Anticode

Deza-Frankl (1977): Let q be a prime power.

'Design'	n	t	upper bound for		
			t-intersecting family of permutations		
Latin square	all	1	(n-1)! (sharp)		
AGL(1, q)	q	2	(n-2)! (sharp)		
PGL(2,q)	q+1	3	(n-3)! (sharp)		

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Rick Wilson (personal communication): n = 10, t = 2. Largest 2-intersecting family has size (n - 2)!.

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Permutation Anticode

Conjecture. (Deza-Frankl, 1977)

 $\mathcal{A} \subseteq \operatorname{Sym}([n])$ *t*-intersecting. Then, for $n \ge n_0(t)$,

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The conjecture is false if n is not too large in terms of t.

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The conjecture is false if n is not too large in terms of t.

Example. Take n = 8, t = 4, and let \mathcal{A} consists of the identity and all transpositions intechanging *i* and *j*, $i \neq j$. Easy to check that $|\mathcal{A}| = 1 + {8 \choose 2} > (8 - 4)!$ and \mathcal{A} is 4-intersecting.

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Intersecting Families of Permutations

Permutation Anticode

A Stronger Intersection Condition

What if we require that any three permutations in A agree in at least t positions?

Intersecting Families of Permutations

Permutation Anticode

A Stronger Intersection Condition

What if we require that any three permutations in A agree in at least t positions?

Theorem (Deza-Frankl, 1983)

For $n \ge n_0(t)$, $|A| \le (n - t)!$.

Intersecting Families of Permutations

Permutation Anticode

A Stronger Intersection Condition

What if we require that any three permutations in A agree in at least t positions?

Theorem (Deza-Frankl, 1983)

For $n \ge n_0(t)$, $|A| \le (n - t)!$.

Theorem (Ku-Renshaw, 2007)

For $n \ge n_0(t) = O(t^2)$, $|A| \le (n-t)!$,

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Theorem (Ku-Renshaw, 2007)

For
$$n \ge n_0(t) = O(t^2)$$
, $|\mathcal{A}| \le (n-t)!$,

with equality if and only if A is a coset of the stabilizer of t points.

Intersecting Families of Permutations

LINTERSECTING FAMILIES IN OTHER BASE Groups

What happens if we replace Sym([n]) by the alternating group Alt(n) or direct product of symmetric groups $Sym([n_1]) \times \cdots Sym([n_q])$?

Intersecting Families of Permutations

LIntersecting families in Other Base Groups

What happens if we replace Sym([n]) by the alternating group Alt(n) or direct product of symmetric groups $Sym([n_1]) \times \cdots Sym([n_q])$?

Theorem (Ku-Wong, 2007)

Let $n \geq 2$. $\mathcal{A} \subseteq Alt(n)$ 1-intersecting. Then

 $|\mathcal{A}| \leq (n-1)!/2.$

If $n \neq 4$, then equality holds iff $\mathcal{A} = \{g \in Alt(n) : g(x) = y\}$, some $x, y \in [n]$.

Intersecting Families of Permutations

LINTERSECTING FAMILIES IN Other Base Groups

The condition $n \neq 4$ is necessary:

$$\{(1, 2, 3, 4), (1, 3, 4, 2), (2, 3, 1, 4)\}.$$

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Intersecting Families of Permutations

LIntersecting families in Other Base Groups

Theorem (Ku-Wong, 2007)

Let $2 \le m \le n$. $\mathcal{A} \subseteq \operatorname{Sym}(\Omega_1) \times \operatorname{Sym}(\Omega_2)$ 1-intersecting, $|\Omega_1| = m$, $|\Omega_2| = n$. Then

 $|\mathcal{A}| \leq (m-1)!n!.$

Moreover,

Intersecting Families of Permutations

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Moreover,

• if m < n, $(m, n) \neq (2, 3)$, then equality holds iff $\mathcal{A} = \{(g, h) : g(x) = y\}, x, y \in \Omega_1;$

• if m = n, $(m, n) \neq (3, 3)$, then equality holds iff $\mathcal{A} = \{(g, h) : g(x) = y\}, x, y \in \Omega_1 \text{ or } \mathcal{A} = \{(g, h) : h(x) = y\}, x, y \in \Omega_2.$

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Intersecting Families of Permutations

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Theorem (Ku-Wong, 2007)

Let
$$2 \le n_1 = \cdots = n_p < n_{p+1} \le \cdots \le n_q$$
.
 $\mathcal{A} \subseteq \operatorname{Sym}(\Omega_1) \times \cdots \times \operatorname{Sym}(\Omega_q)$ 1-intersecting, $|\Omega_i| = n_i$. Then

$$|\mathcal{A}| \leq (n_1 - 1)! \prod_{i=2}^{q} n_i!.$$

Intersecting Families of Permutations

 $x, y \in \Omega_i$.

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Except for the following cases: • $n_1 = \cdots = n_p = 2 < n_{p+1} = 3 \le n_{p+2} \le \cdots \le n_q$, $1 \le p < q$; • $n_1 = n_2 = 3 \le n_3 \le \cdots \le n_q$; • $n_1 = n_2 = n_3 = 2 \le n_4 \le \cdots \le n_q$; Equality holds iff $\mathcal{A} = \{(g_1, \dots, g_q) : g_i(x) = y\}$, some $1 \le i \le p$,
Cycle-Intersecting Permutations

A collection $\mathcal{A} \subseteq \operatorname{Sym}(n)$ of permutations is *t*-cycle-intersecting if any two permutations in \mathcal{A} (when written in its cycle decomposition) have at least *t* common cycles.

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We are interested in the following function:

 $M(n,t) = \max \{ |\mathcal{A}| : \mathcal{A} \in I \}.$

Fixing Operation - Shifting Analogue

For $i, j \in [n]$, $i \neq j$ and $g \in \text{Sym}(n)$, define the *ij*-fixing of g to be the permutation $\triangleleft_{ij}(g)$ such that

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 $\mathbf{F}_{ij}(\mathcal{A}) = (\mathcal{A} \setminus \mathcal{A}_{ij}) \bigcup \{ \triangleleft_{ij}(g) : g \in \mathcal{A}_{ij} \}.$

Cycle-Intersecting Permutations

What is so nice about fixing

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- $|\mathbf{F}_{ij}(\mathcal{A})| = |\mathcal{A}|;$
- If $\mathcal{A} \subseteq \operatorname{Sym}(n)$ then $\operatorname{F}_{ij}(\mathcal{A}) \subseteq \operatorname{Sym}(n)$;

• If $\mathcal{A} \in I(n, t)$ then $F_{ij}(\mathcal{A}) \in I(n, t)$.

Cycle-Intersecting Permutations

Theorem (Ku-Renshaw, 2007)

Let $\mathcal{A} \subseteq \text{Sym}(n)$ be t-cycle-intersecting. For $n \ge n_0(t)$,

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Intersecting Set Partitions

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When k divides n, set c = n/k to be the size of each block,

U(n, k) = set of all k-partitions of [n] such that k divides n. (Uniform Set Partitions).

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└─ Intersecting Families of Set Partitions

Intersecting Families of Set Partitions

Examples.

- n = 6, $k = 3 : 12 345 6 \in P(6, 3)$.
- n = 6, $k = 3 : 12 34 56 \in U(6, 3)$.

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Problem 1. What is the maximum size of a *t*-intersecting family in B(n) or P(n, k) or U(n, k)?

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Problem 2. Can we characterize the extremal families for these problems?

k-partitions

A construction of *t*-intersecting families in P(n, k):

$$\mathcal{P} = \{ P \in P(n, k) : \{1\}, \{2\}, \dots, \{t\} \in P \}.$$

 $|\mathcal{P}| = S(n - t, k - t),$

where S(n, k) is the Stirling Number of the Second Kind.

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where S(n, k) is the Stirling Number of the Second Kind.

Theorem (P. L. Erdős-Székely, 2000)

Suppose $\mathcal{A} \subseteq P(n, k)$ is t-intersecting. For $n \ge n_0(t)$,

$$|\mathcal{A}| \leq |\mathcal{P}| = S(n-t, k-t).$$

└─ Intersecting Families of Set Partitions

Uniform Set Partitions

$$u(n,k) = |U(n,k)| = \frac{1}{k!} {n \choose c} {n-c \choose c} \cdots {n-(k-1)c \choose c}.$$

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Intersecting Families of Set Partitions

Theorem (Meagher-Moura, 2005)

Let $n \ge k \ge 1$, n = kc. Then

 $\mathcal{A} \subseteq U(n,k)$ 1-intersecting $\implies |\mathcal{A}| \le u(n-c,k-1).$

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 $\mathcal{A} \subseteq U(n,k)$ 1-intersecting $\Longrightarrow |\mathcal{A}| \leq u(n-c,k-1).$

Equality holds iff \mathcal{A} is isomorphic to

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Intersecting Families of Set Partitions

Theorem (Meagher-Moura, 2005)

Let $n \ge k \ge t \ge 1$, n = kc. $A \subseteq U(n, k)$ t-intersecting. Then, for $n \ge n_0(k, t)$ or $n \ge n_0(c, t)$ (when $c \ge t + 2$),

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Equality holds iff \mathcal{A} consists of t fixed blocks.

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Example. A consists of all set partitions containing t singletons (block of size 1).

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Conjecture. (Peter Cameron) For sufficiently large *n*,

 $M(n,t) \leq B(n-t).$

Splitting Operation - Shifting Analogue

For $i, j \in [n]$, $i \neq j$ and $P \in \mathcal{B}(n)$, define the *ij*-splitting of P to be the set partition $\triangleleft_{ij}(P)$ such that

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Intersecting Families of Set Partitions

Theorem (Ku-Renshaw, 2007)

Let $n \geq 2$. Let $\mathcal{A} \subseteq \mathcal{B}(n)$ be 1-intersecting. Then

 $|\mathcal{A}| \leq B(n-1),$

with equality iff \mathcal{A} consists of set partitions containing one fixed singleton.

Theorem (Ku-Renshaw, 2007)

Let $\mathcal{A} \subseteq \mathcal{B}(n)$ be t-intersecting. For $n \geq n_0(t)$,

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n = 6, t = 2.

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There are B(4) = 15 set partitions of [6] containing 2 fixed singletons.

There are 16 set partitions of [6] with 4 or more singletons.

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Intersecting Families of Set Partitions

Many problems remain

1. Determine the best possible $n_0(t)$ wich appeared in the preceding results.

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4. What is the size and the structure of a largest *t*-intersecting family of set partitions not fixing *t* singletons?

Intersecting Families of Set Partitions

Non-Trivial 1-Cycle-Intersecting Families

Hilton-Milner type construction.

$$\mathcal{H}^\dagger = \{g \in \mathrm{Sym}(n) : g(1) = 1, g(i) = i \text{ for some } i > 2\}$$

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$$|\mathcal{H}^{\dagger}| = (n-1)! - d(n-1) - d(n-2) + 1 \sim (1-\frac{1}{e})(n-1)!$$

d(n) is the number of derangements on n elements.

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Theorem (Ku-Renshaw, 2007)

Let $n \ge 194$ and $\mathcal{A} \subseteq \operatorname{Sym}(n)$ be non-trivial 1-cycle-intersecting. Then

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Conjecture.

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- Result holds for all n

└─ Intersecting Families of Set Partitions

Outline of Proof

${\mathcal A}$ non-trivial cycle-intersecting

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Intersecting Families of Set Partitions

Outline of Proof

A non-trivial cycle-intersecting

after finitely many fixing operations

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Intersecting Families of Set Partitions

Outline of Proof

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Outline of Proof



Case I. $F_{ab}(\mathcal{R})$ is contained in the trivial family for some $a \neq b$.

Case II. Otherwise.

Intersecting Families of Set Partitions

Case I. Case I never occurs.

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Intersecting Families of Set Partitions



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Intersecting Families of Set Partitions



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 $|\mathcal{G}| \leq \sum_{F \in \operatorname{Fix}(\mathcal{G})} (n - |F|)!$. We can do slightly better

Let $\mathcal{F} = \{F \in \operatorname{Fix}(\mathcal{G}) : \not \exists F' \in \operatorname{Fix}(\mathcal{G}) \text{ such that } F' \subset F\}.$

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Note that \mathcal{F} is an intersecting antichain of subsets.

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Note that \mathcal{F} is an intersecting antichain of subsets.

Finally, use LYM-type inequalities and the structure of ${\boldsymbol{\mathcal{F}}}$ to show that

$$|\mathcal{G}| \leq \sum_{F \in \mathcal{F}} (n - |F|)! \leq |\mathcal{H}^{\dagger}|.$$

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Intersecting Families of Set Partitions

Happy 60th Birthday, Peter!



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Intersecting Families of Set Partitions

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